

WEALTH EXCHANGE
TRADING MEMBERSHIP APPLICATION FORM



Date: _____

To,

Wealth Exchange Private Limited (“The Exchange”)

4th Floor, Charter Tower,

Sano Gaucharan,

Kathmandu, Nepal

Re: APPLICATION FOR TRADING MEMBERSHIP

We would like to apply for Trading Membership in the Exchange, and declare that all the information given in or attached to this application, and any other information given to the Exchange, in connection with this application are true and complete. We acknowledge that the Trading Membership can be expelled or suspended under the Exchange Rules in the event that any information or document given in respect of its application is not true or complete. We as a Trading Member also acknowledge & undertakes all the Rules and Regulations of the Exchange shall be followed.

1. Abide by the Exchange Rules and any Circulars and Directives as amended from time to time (“the Rules”); and
2. In accordance with the Rules, accept as final, binding and conclusive any decision made by the Exchange.

Thank You.

With Regards,

.....

Signature

Name :

Company Name :

Designation: _____

PART B

COMPANY INFORMATION

(1) Company Details

Name of Company: _____

Company Registration No.: _____

Dated : _____

Company Registered Address: _____

City : _____

Contact Person Name: _____

Designation: _____

Contact Number (Tel no.): _____

Mobile No. : _____

E-mail Address: _____

(2) Information on shareholders

A. Full Name of shareholders: _____ Percentage holdings (%): _____

B. Full Name of shareholders: _____ Percentage holdings (%): _____

(3) Directors & Key Officers

Full Name of Chairman: _____

Date of Birth (DD/MM/YYYY): _____

Citizenship Number: _____

Office Contact Number: _____

Mobile Number: _____

Email Address: _____

Highest Qualification Obtained: _____

Key Officer: _____

Department Position Held: _____

Date of Birth (DD/MM/YYYY): _____

Citizenship Number: _____

Office Contact Number: _____

Mobile Number: _____

Email Address: _____

Highest Qualification Obtained: _____

(4) Company Information

(4.1) Please describe the Company's main lines of business : _____

(4.2) Please state if the Company is currently trading in the Exchange. If no, does the Company intend to do so? Yes No.....

(4.3) Is the Company currently a member of any securities, futures or commodities Exchange and/or registered with any trade association or regulatory body? If yes, please list the Exchanges/trade/regulatory body below.

Name of Exchange/Trade: _____

Type of Membership: _____

Date of Registration(D/MM/YYYY): _____

Deregistration Date : _____

(5) Disciplinary History / Records

(5.1) Censured and/or disciplined by any regulatory body over the past 5 years? _____

(5.2) Denied membership by any securities, futures or commodities exchange or markets or whether such membership has ever been suspended or revoked? _____

(5.3) Denied trading/clearing privileges in any securities, futures or commodities exchange or markets or whether such privileges have been suspended or revoked? _____

DOCUMENTS REQUIRED & ATTACHED

(1.) A copy of the Company's most recent corporate information lodged with the Registrar of Companies. ("Attachment A").

(2.) A copy of Memorandum and Articles of Association ("Attachment B").

(3.) A copy of Certificate of Incorporation ("Attachment C").

(4.) A copy of Board's Resolution authorizing the application for Trading Membership ("Attachment D").

(5.) Copy of PAN or VAT Registration.

(6.) Exchange and Trading Member Agreement.

(7.) Proof of Identity: Citizenship Copy/Photographs (2)

(8.) Original Deposit Voucher of Membership Fee.